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AUDIT COMMITTEE

Thursday, 10 April 2014 (2.00 pm)

Please find the attached report marked as a *Late Report* on the Audit Committee agenda.

8 **RISK MANAGEMENT & INSURANCE** (Pages 1 - 4)

To present information regarding Risk Management and Insurance.

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ISLE OF ANGLESEY COUNTY COUNCIL	
COMMITTEE:	AUDIT COMMITTEE
DATE:	10 APRIL 2014
TITLE OF REPORT:	RISK MANAGEMENT & INSURANCE CLAIMS
PURPOSE OF REPORT:	FOR INFORMATION
REPORT BY:	HEAD OF FUNCTION (RESOURCES)
ACTION:	FOR INFORMATION

1. INTRODUCTION

1.1 The Council’s Risk Management Strategy and Risk Management Guidance were endorsed by the Executive on the 15th October 2012.

1.2 These documents require the Audit Committee to review the Risk Management framework and review and challenge the top corporate risks.

2. CORPORATE RISK REGISTER

2.1 The Corporate Risk Register was reviewed in March 2014 and the Council’s top risks remain as being:-

- YM08 Failing to plan for a significant reduction in funding from 2015/2016
- YM33 Failing to provide services within the budget provided
- YM31 Risk of not being compliant with Data Protection legislation
- YM36 Failing to plan for the impact of the effects of reforms in the health sector.

2.2 The following are newly identified risks which are considered to be medium risks to the Council:-

- YM40 Failing to comply with internal CPRs and procurement legislation, particularly European and UK competition law
- YM41 Not having adequate or robust business continuity arrangements in place to maintain the service
- YM42 Failure to implement the E-Planning Project, including the ICT capacity to deal with large scale applications on time

3. IMPLEMENTATION OF RISK MANAGEMENT FRAMEWORK

3.1 Due to the difficulties with risk management becoming embedded throughout the Council, facilitated work streams to improve the Services, understanding of risk and develop their Service Risk Registers, including plans to mitigate the risks are currently being arranged. Further facilitated work streams will then follow with SLT and the Executive.

3.2 Part of this process would also be to review the framework and its operation to ensure that we have an embedded process.

3.3 In the meantime the Risk & Insurance Manager continues to support Heads of Service to develop their Service Risk Registers.

4. INSURANCE CLAIMS

4.1 The Council's claims experience for the period 1st April 2007 to 31st March 2014 is attached to this report at Appendix A. Please note that the report is based on the incident date of the claim and not the claims made basis and has not been adjusted to take account of the new Service Units which became effective part way through the year.

4.2 There has been a significant increase in the number of property claims during 2013/2014, which are attributable to the storms and high winds experienced in December 2013 to February 2014.

5. RECOMMENDATIONS

5.1 The Committee is requested to note the content of this report.

CLARE WILLIAMS
HEAD OF FUNCTION (RESOURCES)

1 APRIL 2014

ATODIAD A / APPENDIX A

Gwasanaeth / Service	Cyfnod / Period	Atebolwydd y Cyfngwr / Employers Liability				Atebolwydd Cyhoeddus / Public Liability				Modur / Motor				Eiddo / Property				Eraill / Other				Total																							
		Nifer o Hawliadau / No of Claims		Côt / Cost		Nifer o Hawliadau / No of Claims		Côt / Cost		Nifer o Hawliadau / No of Claims		Côt / Cost		Nifer o Hawliadau / No of Claims		Côt / Cost		Nifer o Hawliadau / No of Claims		Côt / Cost		Nifer o Hawliadau / No of Claims		Côt / Cost																					
		Derbyniwyd / Received	Talwyd / Paid	Gwrthodwyd / Reputed	Heb ei Setlo / Outstanding	Derbyniwyd / Received	Talwyd / Paid	Gwrthodwyd / Reputed	Heb ei Setlo / Outstanding	Derbyniwyd / Received	Talwyd / Paid	Gwrthodwyd / Reputed	Heb ei Setlo / Outstanding	Derbyniwyd / Received	Talwyd / Paid	Gwrthodwyd / Reputed	Heb ei Setlo / Outstanding	Derbyniwyd / Received	Talwyd / Paid	Gwrthodwyd / Reputed	Heb ei Setlo / Outstanding	Derbyniwyd / Received	Talwyd / Paid	Gwrthodwyd / Reputed	Heb ei Setlo / Outstanding																				
Cynllunio a Gwarchod y Cyhoedd / Planning & Public Protection	01/04/2007 - 31/03/2008	0	0	0	0	0	0	0	0	2	1	1	0	184	0	0	0	1	1	0	0	292	0	0	0	0	0	0	0	3	0	0	0	408	0	0	0	6	5	4	0	476	408		
	01/04/2008 - 31/03/2009	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	01/04/2009 - 31/03/2010	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	01/04/2010 - 31/03/2011	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	01/04/2011 - 31/03/2012	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	01/04/2012 - 31/03/2013	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Cyfanswm / Total	1	0	0	1	0	0	0	0	5	1	4	0	184	0	0	0	8	5	3	0	5376.19	0	0	0	0	0	0	0	7	0	6	1	9408	21	9	13	2	5660.19	18408	0					
Datblygu Economaidd / Economic Development	01/04/2007 - 31/03/2008	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	01/04/2008 - 31/03/2009	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	01/04/2009 - 31/03/2010	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	01/04/2010 - 31/03/2011	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	01/04/2011 - 31/03/2012	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	01/04/2012 - 31/03/2013	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Cyfanswm / Total	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0					
Prifffyrdd a Rheoli Gwastraff / Highways & Waste Management	01/04/2007 - 31/03/2008	0	0	0	0	0	0	0	0	69	14	55	0	172945	0	3	2	1	0	13531	0	2	1200	11800	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	01/04/2008 - 31/03/2009	1	1	0	0	16297	0	0	0	44	6	35	3	91904	42354	5	4	1	0	2376	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
	01/04/2009 - 31/03/2010	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
	01/04/2010 - 31/03/2011	0	0	0	0	0	0	0	0	58	12	43	3	78456	5448	9	7	2	0	2205	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
	01/04/2011 - 31/03/2012	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
	01/04/2012 - 31/03/2013	0	0	0	0	0	0	0	0	125	28	50	47	47886	20750	5	2	2	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
Cyfanswm / Total	1	1	0	0	16297	0	0	0	530	90	320	120	675903	401378	30	19	8	3	21603	700	9	7	1	1	11649.6	500	3	0	2	0	2	1	16297	40000	573	117	331	125	741749	442578					
Eiddo / Property	01/04/2007 - 31/03/2008	0	0	0	0	0	0	0	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	01/04/2008 - 31/03/2009	0	0	0	0	0	0	0	0	2	2	0	0	20120	0	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
	01/04/2009 - 31/03/2010	0	0	0	0	0	0	0	0	6	4	2	0	18263	0	2	0	2	0	2693	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
	01/04/2010 - 31/03/2011	0	0	0	0	0	0	0	0	2	1	0	0	60	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
	01/04/2011 - 31/03/2012	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
	01/04/2012 - 31/03/2013	0	0	0	0	0	0	0	0	3	0	2	1	6000	0	1	0	1	0	180	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
Cyfanswm / Total	0	0	0	0	0	0	0	0	24	7	10	6	45962.5	13696.6	4	3	1	0	2873.21	700	9	7	1	1	11649.6	500	3	0	2	0	2	1	16297	40000	573	117	331	125	741749	442578					
Tai / Housing	01/04/2007 - 31/03/2008	0	0	0	0	0	0	0	0	28	14	17	0	7012	0	3	1	2	0	30687	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	01/04/2008 - 31/03/2009	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
	01/04/2009 - 31/03/2010	0	0	0	0	0	0	0	0	34	9	25	0	21523	1	5	3	2	0	47555	0	10	5	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
	01/04/2010 - 31/03/2011	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
	01/04/2011 - 31/03/2012	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
	01/04/2012 - 31/03/2013	0	0	0	0	0	0	0	0	34	7	22	5	9772	4185	5	5	0	0	8257	1500	3	0	2	1	53057	5000	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
Cyfanswm / Total	1	0	0	1	0	0	0	0	5000	194	58	106	86811.3	38434	28	16	6	6	83554.8	3400	49	10	19	20	97322.1	13360	2	1	34.25	5000	274	85	131	58	267722	65194									
Uned Ddarparu / Provider Unit	01/04/2007 - 31/03/2008	2	1	1	0	15250	0	0	0	2	1	1	0	25054	0	13	9	4	0																										

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